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**IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA**

TERESA HALE, VANESSA NG, on behalf of  
themselves and all others similarly situated,

Plaintiff,

v.

NATERA, INC.,

Defendant.

**Case No. 3:19-CV-01402-MMC**

**FIRST AMENDED CLASS ACTION  
COMPLAINT**

**JURY TRIAL DEMANDED**

1 Plaintiffs Teresa Hale and Vanessa Ng, through their undersigned attorneys, on behalf of  
 2 themselves and all persons similarly situated, complain against Natera, Inc. (“Natera” or  
 3 “Defendant”), as follows:

#### 4 **INTRODUCTION**

5 1. Defendant Natera provides numerous genetic tests to doctors with patients who are  
 6 pregnant or wishing to become pregnant. But Plaintiffs Hale and Ng had neither sought health care in  
 7 relation to getting pregnant nor ever sought genetic testing from Natera through a health care provider.  
 8 In fact, neither Plaintiff Hale nor Plaintiff Ng ever had any contact with Natera. Nonetheless, each  
 9 received form text messages related to testing being conducted by Natera. Not only did the text  
 10 message invade Plaintiffs’ privacy, and subject them to aggravation, it alarmed them to receive text  
 11 messages that appeared to relate to medical testing that they knew nothing about.

12 2. By sending unauthorized text messages to Plaintiffs and individuals throughout the  
 13 nation, Natera violated federal law and caused them concrete harm.<sup>1</sup> Specifically, by effectuating these  
 14 unauthorized text messages, Natera has violated individuals’ statutory and privacy rights and has  
 15 caused individuals actual harm, not only because individuals were subjected to the aggravation and  
 16 invasion of privacy that necessarily accompanies wireless spam, but also because individuals  
 17 frequently have to pay their cell phone service providers for the receipt of such wireless spam.

18 3. Accordingly, Plaintiffs bring this class action complaint for damages, injunctive relief,  
 19 and any other available legal or equitable remedies, resulting from the illegal actions of Natera in  
 20 sending text messages to Plaintiffs on their cellular telephones, in violation of the Telephone  
 21 Consumer Protection Act, 47 U.S.C. § 227, *et seq.* (“TCPA”).

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22  
 23  
 24  
 25 <sup>1</sup> Text messages are “calls” within the meaning of the TCPA. *Satterfield v. Simon & Schuster, Inc.*, 569 F.3d 946,  
 26 954 (9th Cir. 2009); *Legg v. Voice Media Group, Inc.*, 990 F.Supp.2d 1351, 1354 (S.D. Fla. 2014) (“These  
 27 provisions [of the TCPA] apply with equal force to conventional telephone calls and text messages, as a text  
 28 message qualifies as a ‘call’ within the meaning of the TCPA.”).

**JURISDICTION AND VENUE**

4. This Court has original jurisdiction over this class action pursuant to 28 U.S.C. § 1332(d). Plaintiffs and Class Members have suffered aggregate damages exceeding \$5,000,000, exclusive of interest and costs, and is a class action in which any Member of the Class of Plaintiffs is a citizen of a state different from any Defendant.

5. This Court also has federal question jurisdiction over this case pursuant to 28 U.S.C. § 1331, pursuant to Defendant’s violation of the TCPA.

6. Venue is proper in this District under 28 U.S.C. § 1391(b) because Natera is a resident of this District and significant events giving rise to this case took place in this District.

**PARTIES**

7. Plaintiff Teresa Hale is a citizen residing in Kansas City, Missouri.

8. Plaintiff Vanessa Ng is a citizen residing in Houston, Texas.

9. Defendant Natera is a Delaware corporation with its principal place of business at 201 Industrial Road, Suite 410, San Carlos, CA 94070.

**COMMON ALLEGATIONS OF FACT**

**I. The TCPA**

10. The TCPA exists to prevent communications like the ones described within this complaint. “Voluminous consumer complaints about abuses of telephone technology—for example, computerized calls dispatched to private homes—prompted Congress to pass the TCPA.” *Mims v. Arrow Fin. Servs., LLC*, 132 S. Ct. 740, 744 (2012).

11. Congressional committees investigating the use of telecommunications technology found that legislation was necessary to prevent abusive telemarketing practices and protect consumers from invasions of privacy, harassment, and economic harm. The Senate Committee on Commerce, Science, and Transportation found that “the Federal Communications Commission (FCC) received over 2,300 complaints about telemarketing calls” in the year preceding the TCPA’s passage, stating *inter alia* that “unsolicited calls placed to . . . cellular . . . telephone numbers often impose a cost on

1 the called party (. . . [where, e.g.] cellular users must pay for each incoming call . . .).” *See* S. Report  
 2 No. 102-178, 1991 U.S.C.C.A.N. 1968, 1991 WL 211220 at \*2 (Oct. 8, 1991). The House Committee  
 3 on Energy and Commerce concurred, finding that “expert testimony, data, and legal analyses  
 4 comprising the Committee’s record, and broad support of consumers, state regulators, and privacy  
 5 advocates clearly evidence that unsolicited commercial telemarketing calls are a widespread problem  
 6 and a federal regulatory solution is needed to protect residential telephone subscriber privacy rights.”  
 7 H.R. Report No. 102-317, 1991 WL 245201 at \*18 (Nov. 15, 1991).

8 12. When it passed the TCPA, Congress intended to provide consumers a choice as to how  
 9 telemarketers may call them and found that “[t]echnologies that might allow consumers to avoid  
 10 receiving such calls are not universally available, are costly, are unlikely to be enforced, or place an  
 11 inordinate burden on the consumer.” Pub. L. No. 102–243, § 11. Congress also found that “[m]any  
 12 consumers are outraged over the proliferation of intrusive, nuisance calls,” and that “the evidence  
 13 presented to the Congress indicates that automated or prerecorded calls are a nuisance and an invasion  
 14 of privacy . . . .” *Id.* at §§ 12-13.

15 13. The TCPA’s ban on telephone calls made using an automatic telephone dialing system  
 16 (“ATDS” or “autodialer”), as defined by 47 U.S.C. § 227(a)(1), has been interpreted to extend to  
 17 unsolicited autodialed text messages sent to cellular phones. *E.g.*, FCC Declaratory Ruling, 27  
 18 F.C.C.R. 15391, 2012 WL 5986338 (Nov. 29, 2012); *Gomez v. Campbell-Ewald Co.*, 768 F.3d 871,  
 19 876 (9th Cir. 2014); *Gager v. Dell Fin. Servs., Inc.*, 727 F.3d 265, 269 n.2 (7th Cir. 2013).

20 14. As recently held by the United States Court of Appeals for the Ninth Circuit:  
 21 “Unsolicited telemarketing phone calls or text messages, by their nature, invade the privacy and  
 22 disturb the solitude of their recipients. A plaintiff alleging a violation under the TCPA ‘need not allege  
 23 any additional harm beyond the one Congress has identified.’” *Van Patten v. Vertical Fitness Grp.*,  
 24 No. 14-55980, 2017 U.S. App. LEXIS 1591, at \*12 (9th Cir. May 4, 2016) (quoting *Spokeo, Inc. v.*  
 25 *Robins*, 136 S. Ct. 1540, 1549 (2016) (emphasis original)).

**II. Natera Sends Unsolicited Text Messages en Masse to Thousands of Cellular Phones**

15. Natera specializes in analyzing prenatal genetic material sent to it by licensed physicians, usually via medical courier. Natera tests must be ordered through a physician.

16. Patients receive all health information related to any Natera genetic testing, including test results, directly from their health care providers.

17. After Natera receives test orders from physicians, Natera sends text messages to thousands of cellular phones urging the recipient to visit the “patient portal” on Natera’s website.

18. Natera sends these text messages without ever having any direct communications with the patients.

19. Natera also sends text messages to thousands of cellular phones regarding billing, even though Natera never has any direct communications with patients, and regardless of whether the individual has signed up for its patient portal.

20. Natera’s patient enrollment process does not include procedures necessary to confirm the accuracy of the information Natera receives from health care providers, including patients’ cellular telephone numbers.

21. As a result, Natera’s patient account creation and administration processes lack steps sufficient to confirm that the cellular telephone numbers Natera receives, and to which it then sends texts, actually belong to individuals who are undergoing genetic testing using Natera.

22. Since Natera has no direct contact with patients, and does not confirm that the cellular telephone numbers it indirectly receives are accurate, Natera routinely sends unsolicited text messages to individuals who are not seeking genetic testing through health care providers and who never provided consent to receive text messages from Natera.

23. Natera sends its text messages using short codes, including the short codes 447-79 and 584-37. A short code is a special number for text messages sent to cellular subscribers. Short codes are unique 5- or 6-digit numbers that are obtained from an independent agency that manages and

1 assigns these number resources in the United States. Companies use short codes to communicate with  
2 large numbers of cellular subscribers.

3 24. These text messages come in the form of Short Message Services. The term “Short  
4 Message Service” or “SMS” describes a messaging system that allows cellular telephone subscribers  
5 to use their cellular telephones to send and receive short text messages, usually limited to 160  
6 characters.

7 25. An “SMS message” is a text message directed to a wireless device through the use of  
8 the telephone number assigned to the device. When an SMS message is successfully made, the  
9 recipient’s cell phone rings, alerting him or her that a text message is being received.

10 26. Upon information and belief, Natera utilized a combination of hardware and software  
11 systems to send the text messages at issue in this case. The systems utilized by Natera have the current  
12 capacity or present ability to generate or store random or sequential numbers or to dial sequentially  
13 or randomly at the time the text message is sent, and to dial such numbers, en masse, in an automated  
14 fashion and without human intervention.

15 27. The systems utilized by Natera to transmit the subject text messages also stores  
16 numbers and dials them automatically to send text messages to a stored list of phone numbers as part  
17 of scheduled campaigns. *See Marks v. Crunch San Diego, LLC*, No. 14-56834, 2018 U.S. App.  
18 LEXIS 26883, at \*27 (9th Cir. Sep. 20, 2018).

19 28. The impersonal and generic nature of Natera’s text message, *see* Exs. 1-3,<sup>2</sup>  
20 demonstrates that Natera utilized an ATDS in transmitting the messages. *See Kramer v. Autobyte,*  
21 *Inc.*, 759 F. Supp. 2d 1165, 1171 (N.D. Cal. 2010) (finding it “plausible” that defendants used an  
22 ATDS where messages were advertisements written in an impersonal manner and sent from short  
23 code); *Robbins v. Coca-Cola Co.*, No. 13-CV-132-IEG NLS, 2013 U.S. Dist. LEXIS 72725, 2013  
24 WL 2252646, at \*3 (S.D. Cal. May 22, 2013) (observing that mass messaging would be impracticable  
25 without use of an ATDS)).

26  
27 <sup>2</sup> Attached hereto at Exhibits 1-4 are photograph of the text messages that Plaintiffs Hale and Ng received from Natera.

29. Natera sent the text messages via an ATDS or autodialer as defined by 47 U.S.C. § 227(a)(1). The ATDS has the capability to both (1) store or produce telephone numbers to be texted using a random or sequential number generator, and (2) automatically send text messages from a list or database of telephone numbers, without human intervention.

30. Natera has a corporate policy to use an ATDS to text individuals just as it did to Plaintiffs' cellular telephones in this case.

31. Natera's text messages were intentionally sent to Plaintiffs and the other Members of the Class defined below. On information and belief, Natera is well aware of the TCPA's prohibitions against use of autodialers in calls and text messages to consumers, but made the business decision to send these text messages anyway.

### **III. Plaintiff Teresa Hale Received Unsolicited Texts from Defendant**

32. Plaintiff Hale, a 64-year-old woman, has never been a patient of Natera, otherwise used Natera, or sought out any prenatal genetic testing services.

33. On April 25, 2018, Plaintiff Hale's cell phone rang, indicating that a text message was being received. The "from" field of the transmission was identified as "447-79" which, as described above, is a short code utilized by Natera and its agents for the transmission of text messages en masse. The body of the text message read: "Natera received a test order from your doctor! Track your test in our Patient Portal ([https://my.natera.com/users/sign\\_up](https://my.natera.com/users/sign_up)) using Case ID 1777499. Reply STOP to opt out." See Ex. 1.

34. Prior to receiving this text message, Plaintiff Hale had no prior contact with Natera.

35. Plaintiff Hale replied STOP to the text message she received on April 25, 2018. In response, she received another text stating "Your number has been opted out of our list." Nevertheless, one month after filing the Original Complaint in this lawsuit, on April 17, 2019, Plaintiff Hale received the following text message:

Hello from Natera, the lab that performed your prenatal testing. Our records show that we have not received payment from you. We will honor our discounted prompt-pay price of \$249/\$349 if you call 1-877-869-3052 and complete payment within 48 hours. Reply STOP to opt out.

See Ex. 2.

36. The “from” field of the transmission Plaintiff Hale received on April 17, 2019, was identified as “584-37” which, again, is a short code utilized by Natera and its agents for transmission of text messages en masse.

37. At no time did Plaintiff Hale provide consent, including any written consent, to receive the above-referenced text messages or any other such wireless spam from Natera, its agents, or partner entities.

38. The automated text messages that Natera sent to Plaintiff Hale were to a phone number for which Plaintiff Hale is charged for incoming calls and text messages pursuant to 47 U.S.C. § 227(b)(1)(A)(iii). The last four digits of Plaintiff Hale’s phone number are “3129.”

39. The text message originated from telephone numbers 447-79 and 584-37, numbers which upon information and belief are owned and operated by Natera.

40. These numbers are known as “short codes,” standard 5-digit codes that enable Natera to send SMS text messages en masse, while deceiving recipients into believing that the message was personalized and sent from a telephone number operated by an individual.

41. Plaintiff Hale alleges that the text messages she received from Natera violated 47 U.S.C. § 227(b)(1).

#### **IV. Plaintiff Vanessa Ng Received Unsolicited Texts from Defendant**

42. Like Plaintiff Hale, Plaintiff Ng has never sought healthcare related to getting pregnant nor ever sought genetic testing from Natera through a health care provider.

43. On February 17, 2020, at 9:36 a.m., Plaintiff Ng’s cell phone rang, indicating that a text message was being received. The “from” field of the transmission was identified as “441-54” which, as described above, is a short code utilized by Natera and its agents for the transmission of text messages en masse. The body of the text message read:

Natera received your sample and it’s processing in our lab. Create an online account to learn more about your test at <https://my.natera.com>. Reply STOP to opt out.

See Ex. 3.



1 44. Plaintiff Ng then received the same text message, again, four minutes later at 9:40 a.m.

2 45. Prior to receiving these text messages, Plaintiff Ng had no prior contact with Natera.

3 46. On February 18, 2020, Plaintiff Ng replied STOP to the two text messages she received  
4 on February 17, 2020. In response, she received another text stating “AWS Global SMS Alerts. No  
5 more messages will be sent. Help at <http://aws.amazon.com/contact-us>. Message & Data Rates May  
6 Apply.” See Ex. 4.

7 47. At no time did Plaintiff Ng provide consent, including any written consent, to receive  
8 the above-referenced text messages or any other such wireless spam from Natera, its agents, or partner  
9 entities.

10 48. The automated text messages that Natera sent to Plaintiff Ng were to a phone number  
11 for which Plaintiff Ng is charged for incoming calls and text messages pursuant to 47 U.S.C. §  
12 227(b)(1)(A)(iii). The last four digits of Plaintiff Ng’s telephone number are “6293.”

13 49. The text message originated from telephone number 441-54, a number which upon  
14 information and belief is owned and operated by Natera.

15 50. This number is known as a “short code,” a standard 5-digit code that enables Natera to  
16 send SMS text messages en masse, while deceiving recipients into believing that the message was  
17 personalized and sent from a telephone number operated by an individual.

18 51. Plaintiff Ng alleges that the text messages she received from Natera violated 47 U.S.C.  
19 § 227(b)(1).

### 20 **CLASS ACTION ALLEGATIONS**

21 52. Plaintiffs proposes the following Class definition, subject to amendment as  
22 appropriate:

23 All persons within the United States who, within the four years prior to the filing of this  
24 Complaint, were sent a text message through the use of any automatic telephone dialing  
25 system or an artificial or prerecorded voice, from Defendant or anyone on Defendant’s behalf,  
26 to said person’s cellular telephone number, without emergency purpose and without the  
27 recipient’s prior express consent. Defendant and its employees or agents are excluded from  
28 the Class.

1           53.     Members of the Class are so numerous that joinder is impracticable. While the exact  
2 number of Class Members is unknown to Plaintiffs, it is believed that the Class is comprised of  
3 thousands of Members geographically disbursed throughout the United States. The Class is readily  
4 identifiable from information and records in the possession of Natera and third parties.

5           54.     Common questions of law and fact exist as to all Members of the Class. These  
6 questions predominate over questions that may affect only individual Class Members because  
7 Defendant has acted on grounds generally applicable to the Class. Such common and legal factual  
8 questions include:

- 9           a.     Whether Defendant's conduct violates the TCPA;
- 10          b.     Whether Defendant's text messages were sent for an emergency purpose;
- 11          c.     Whether Defendant obtained valid express consent from the automated text message  
12 recipients;
- 13          d.     Whether Plaintiffs and Members of the Class are entitled to damages, costs, or  
14 attorneys' fees from Defendant;
- 15          e.     Whether Defendant's conduct caused Plaintiffs and Members of the Class  
16 inconvenience or annoyance;
- 17          f.     Whether Plaintiffs and Members of the Class are entitled to compensatory damages;
- 18          g.     Whether Plaintiffs and Members of the Class are entitled to treble damages based on  
19 the willfulness of Defendant's conduct;
- 20          h.     Whether Plaintiffs and Members of the Class are entitled to a permanent injunction  
21 enjoining Defendant from continuing to engage in its unlawful conduct;

22           55.     Plaintiffs' claims are typical of the Members of the Class as all Members of the Class  
23 are similarly affected by Defendant's actionable conduct. Defendant's conduct that gave rise to the  
24 claims of Plaintiffs and Members of the Class (*i.e.* using an autodialer to send unsolicited text  
25 messages to cellular phones owned by Plaintiffs and Members of the Class) is the same for all  
26 Members of the Class.

56. Plaintiffs will fairly and adequately protect the interests of the Class because she has no interests antagonistic to, or in conflict with, the Class that Plaintiffs seek to represent. Furthermore, Plaintiffs have retained counsel experienced and competent in the prosecution of complex class action litigation.

57. Class action treatment is a superior method for the fair and efficient adjudication of this controversy, in that, among other things, such treatment will permit a large number of similarly situated persons or entities to prosecute their common claims in a single forum simultaneously, efficiently, and without the unnecessary duplication of evidence, effort, expense, or the possibility of inconsistent or contradictory judgments that numerous individual actions would engender. The benefits of the class mechanism, including providing injured persons or entities with a method for obtaining redress on claims that might not be practicable to pursue individually, substantially outweigh any difficulties that may arise in the management of this class action.

58. Plaintiffs know of no difficulty to be encountered in the maintenance of this action that would preclude its maintenance as a class action.

59. Defendant has acted or refused to act on grounds generally applicable to the Class, thereby making appropriate final injunctive relief or corresponding declaratory relief with respect to the Class as a whole.

**COUNT I**

**Violation of the Telephone Consumer Protection Act (47 U.S.C. § 227 et seq.)**

60. Plaintiffs incorporate by reference all above paragraphs as though fully repeated herein.

61. Plaintiffs and the members of the class are, and at all times mentioned herein were, “person(s)” as defined by 47 U.S.C. § 153(39).

62. The text messages that Natera sent were not for an emergency purpose as defined by 47 U.S.C. § 227(b)(1)(A)(i).

63. The text messages from Natera also constitute artificial or prerecorded voice calls pursuant to 47 U.S.C. § 227(b)(1).

64. The TCPA prohibits the use of an ATDS or autodialer to make any call or send any text message to a wireless phone number without the prior express consent of the contacted party or in the absence of an emergency.

65. The foregoing acts and omissions of Natera constitute numerous and multiple violations of the TCPA, including but not limited to each and every one of the above-cited provisions of 47 U.S.C. § 227 *et seq.*

66. As a result of Natera's violations of 47 U.S.C. § 227 *et seq.*, Plaintiffs and Members of the Class are entitled to an award of \$500.00 in statutory damages for each and every negligent violation, pursuant to 47 U.S.C. § 227(b)(3).

67. As a result of Natera's violations of 47 U.S.C. § 227 *et seq.*, Plaintiffs and Members of the Class are entitled to an award of \$1,500.00 in statutory damages for each and every knowing and/or willful violation, pursuant to 47 U.S.C. § 227(b)(3).

68. As a result of Natera's violations of 47 U.S.C. § 227 *et seq.*, Plaintiffs and Members of the Class have been subjected to nuisance text messages, have been forced to waste time reviewing, deleting, and/or responding to Natera's unsolicited automated text messages, and have suffered invasions of their privacy and unwanted use of data storage space on their cellular telephones.

69. Plaintiffs and Members of the Class also suffered damages in the form of text message, data, and other charges to their cellular telephone plans.

70. Plaintiffs and Members of the Class are also entitled to and seek injunctive relief prohibiting Natera's illegal conduct in the future.

#### **PRAYER FOR RELIEF**

WHEREFORE, Plaintiffs and the Class demand a jury trial on all claims so triable and judgment as follows:

1. Statutory damages of \$500.00 for each negligent violation of the TCPA over the last four years;
2. Statutory damages of \$1,500.00 for each knowing or willful violation of the TCPA over the last four years;
3. Actual and punitive damages arising from Defendant's wrongful and illegal conduct;
4. A permanent injunction prohibiting Defendant from sending text messages via the use of an ATDS or autodialer without recipients' prior express consent;
5. Attorney's fees;
6. Litigation expenses and costs of the instant suit; and
7. Such other or further relief as the Court deems proper.

**JURY DEMAND**

Plaintiffs demand trial by jury on all counts for which a jury trial is permitted.

Dated: April 23, 2020

Respectfully submitted,

**TYCKO & ZAVAREEI LLP**

/s/ Annick M. Persinger

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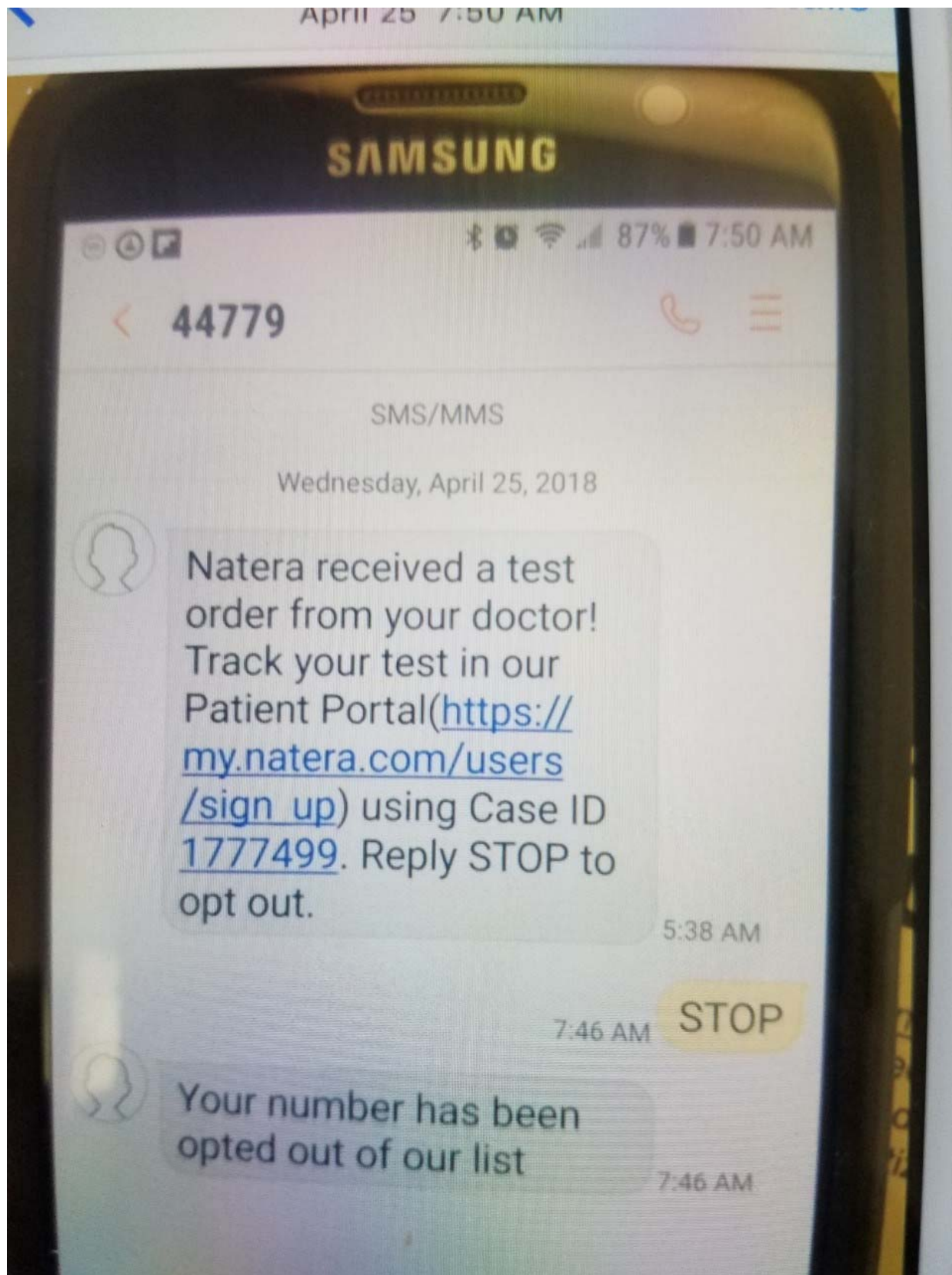
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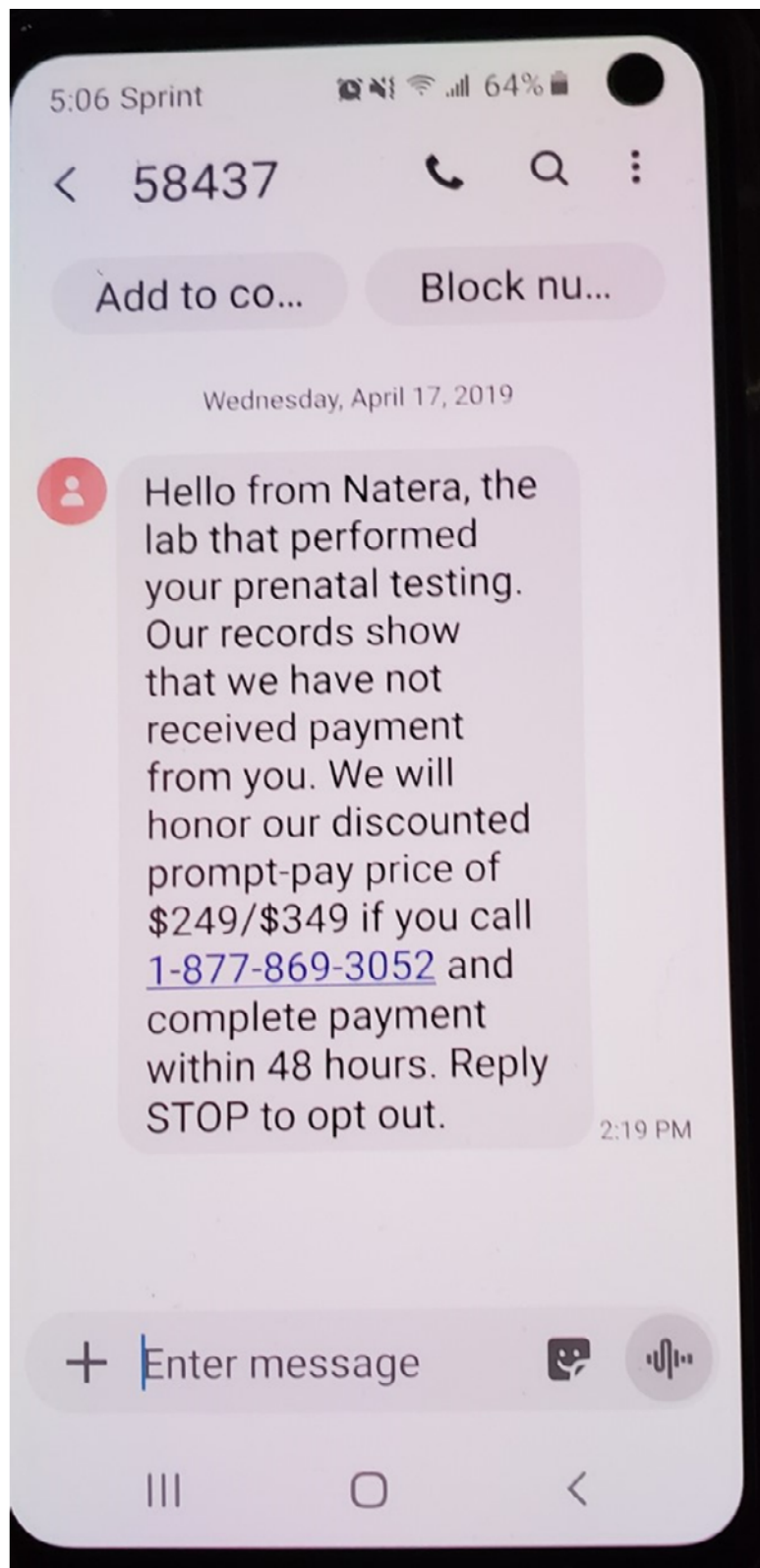
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# EXHIBIT 1

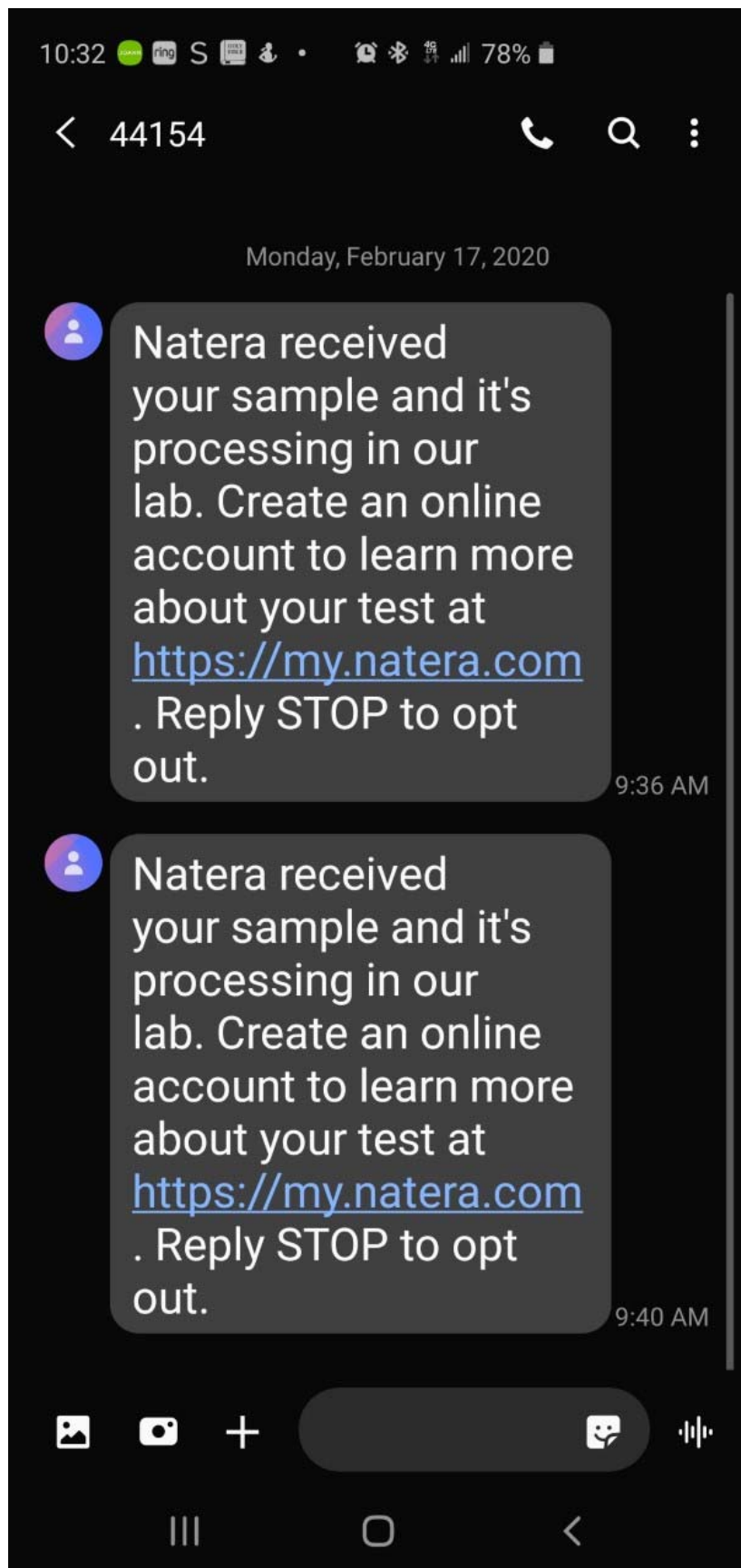




# EXHIBIT 2



# EXHIBIT 3



# EXHIBIT 4

